### PATENT APPLICATION



## UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q82579

Shoji TANIGAWA, et al.

Appln. No.: 10/521,236

Group Art Unit: 2877

Confirmation No.: 9032

Examiner: Tu T. Nguyen

Filed: January 14, 2005

For: OPTICAL FIBER POLARIZATION MODE DISPERSION MEASURING METHOD

AND MEASURING APPARATUS

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

- •JP 2000-329651, November 30, 2000
- •JP 2001-51150, February 23, 2001
- •B. HUTTNER et al.., Distributed PMD Measurement with a Polarization-OTDR in Optical Fibers, Journal of Lightwave Technology, October 1999, pages 1843-1848, Vol. 17, No. 10.

11/22/2006 SZEWDIE1 000000063 10521236 01 FC:1806 180.03 OP INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/521,236

•TOMIOKA et al., Proceedings of the 2002 IEICE General Conference B-10-113, March

27-30, 2002, The Institute of Electronics, Information and Communication Engineers, Waseda

University, Tokyo

The present Information Disclosure Statement is being filed (without a Statement Under

37 C.F.R § 1.97(e)) after the later of three months from the application's filing date and the

mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of

Allowance, or an action that otherwise closes prosecution in the application (whichever is

earlier), and therefore a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p) is attached.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits English Abstracts of the references in Japanese.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 55,470

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WASHINGTON OFFICE 23373

CUSTOMER NUMBER

Date: November 21, 2006

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Substitute for Form 1449 A & B/PTO

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1

Complete if Known				
Application Number	10/521,236			
Confirmation Number	9032			
Filing Date	January 14, 2005			
First Named Inventor	Shoji TANIGAWA			
Art Unit	2877			
Examiner Name	Tu T. Nguyen			
Attorney Docket Number	Q82579			

U.S. PATENT DOCUMENTS						
Examiner Cite Initials* No.1	Cita	Document	Document Number			
		Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
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FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.1	Foreign Patent Document			Publication Date	Name of Patentee or		
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		JP	2000-329651	B1	11-30-2000	ADVANTEST CORP.	Abstract
		JP	2001-51150	B1	02-23-2001	FUJIKURA LTD	Abstract
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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>	
		B. HUTTNER et al., Distributed PMD Measurement with a Polarization-OTDR in Optical Fibers, Journal of Lightwave Technology, October 1999, pages 1843-1848, Vol. 17, No. 10.		
		TOMIOKA et al., Proceedings of the 2002 IEICE General Conference B-10-113, March 27-30, 2002, The Institute of Electronics, Information and Communication Engineers, Waseda University, Tokyo.	Yes	

Examiner Signature	Date Considered	
Examine Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.